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BERESKIN & PARR

UNITED STATES

Title: ANTIMICROBIAL COMPOSITION FORMULATED  
WITH ESSENTIAL OILS

Inventors: S. Samuel DeAth, Joy DeAth

**Title: ANTIMICROBIAL COMPOSITION FORMULATED WITH  
ESSENTIAL OILS**

**RELATED APPLICATION**

This application is a divisional of application Serial No. 09/564,282,  
5 filed on May 5, 2000.

**FIELD OF THE INVENTION**

This invention relates to disinfecting and cleaning compositions, and  
more particularly, to antimicrobial compositions for disinfecting, sanitizing or  
cleaning porous and non-porous surfaces including plastic, metal, fabric,  
10 wood, leather and skin.

**BACKGROUND OF THE INVENTION**

It is now well recognized that many contagious diseases are  
transmitted by touching unsanitized surfaces, and that disease causing  
germs are able to survive on some surfaces for up to five weeks. Surfaces  
15 of concern include counters and other food preparation areas, bathroom  
fixtures, and toys and other surfaces accessible to children in daycare  
facilities, as children tend to share toys and spread germs on a seemingly  
continuous basis. The prevention of disease is much more effective than  
treatment. There is therefore a need for products which safely control  
20 germs on surfaces.

A number of products have been developed for the purpose of  
disinfecting and cleaning various surfaces. Many of these products use  
toxic, poisonous chemicals. Every year, hundreds of thousands of children  
are accidentally poisoned by toxic products. Some of these products are  
25 difficult and inconvenient to use. Others must be wiped off by a cloth, and  
cloths are sometimes often a source of more germs than those originally  
on the surface. There is accordingly a growing need for more natural and  
less toxic disinfectants.

Essential oils, i.e. volatile oils distilled or extracted from plants, are

natural products known to have antimicrobial properties, and attempts have been made to formulate disinfectant solutions based upon essential oils. However, because of their hydrophobic nature, essential oils are not readily miscible in water. As a result, essential oils are often difficult to prepare in a form that will allow them to be readily incorporated into an aqueous solution.

U.S. Patent No. 5,403,587 to McCue et al. discloses an antimicrobial composition that utilizes both a solvent and a surfactant to facilitate the formation of a homogeneous aqueous mixture of an essential oil. Although this disinfectant composition is more natural than some, it requires relatively high concentrations of a solvent and synthetic surfactant, and its efficacy is open to question. There is accordingly a need for an environmentally friendly, biodegradable, non-toxic and completely natural germicidal solution capable of being sold as a consumer product to sanitize, disinfect and clean a variety of surfaces, particularly food contact surfaces.

### **SUMMARY OF THE INVENTION**

The present invention is directed to an aqueous antimicrobial composition for disinfecting, sanitizing or cleaning surfaces, comprising a mixture of essential oils exhibiting antimicrobial properties in a water carrier, and a solvent sufficient to form an aqueous mixture of the essential oils in the water carrier. The mixture of essential oils comprises thyme, lemongrass, clove and eucalyptus. The composition may also include a biosurfactant such as BOD or Tween-80.

The present invention is also directed to an antimicrobial composition comprising at least one essential oil exhibiting antimicrobial properties in a water carrier, a solvent, and about 1 to 1000 ppm of an ionizing agent. The ionizing agent is preferably selected from the group comprising copper sulfate, cupric carbonate and silver colloidal. Most preferably the ionizing agent is a naturally occurring ionizing agent such as Blue Stone ions.

The present invention provides for a novel and completely natural composition which exhibits disinfectant properties and eliminates or significantly reduces harmful microorganisms on surfaces to which the composition is applied. The subject composition has a neutral pH, is non-toxic and does not effect the skin, eyes, lungs or coloration of products being cleaned.

The features and advantages of composition of the present invention will become apparent from the following detailed description. It should be understood, however, that the detailed description and the specific examples while indicating preferred embodiments of the invention are given by way of illustration only, since various changes and modifications within the spirit and scope of the invention will become apparent to those skilled in the art from this detailed description.

#### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

The disinfectant composition of the present invention is an all-natural, non-toxic, antimicrobial composition which may be sprayed on various surfaces to eliminate microorganisms. The composition comprises about .5 to 10% by volume of a mixture of essential oils capable of being dissolved or dispersed in a water carrier and exhibiting antimicrobial properties in the water carrier, and about 2 to 12% by volume of a solvent sufficient to form an aqueous solution of said essential oils in a water carrier. In a preferred embodiment, the mixture of essential oils comprises thyme, lemongrass, clove and eucalyptus.

The composition of the present invention preferably comprises about 2% to 7% by volume of the mixture of essential oils, and about 2 to 3.25% by volume of an organic solvent. The organic solvent aids in the dispersion of the essential oils into the water carrier, and increases the volatilization rate of the solution. Water is included as a carrier in a sufficient amount to make a final composition of 100% by volume. The pH of the formulation contemplated by this invention is about 6.5 to 7.5.

In one preferred embodiment, the composition of the subject

invention comprises about 3% by volume of the mixture of essential oils and 2% by volume of a solvent. The solvent is preferably an organic solvent such as 95% pure grain ethyl alcohol, although other non-toxic solvents such as hexadecane, n-propanol and ethyl acetate, could be used. In this  
5 embodiment, the composition comprises about .50% by volume of thyme, about .50% by volume of lemongrass, about 1.3% by volume of clove, and about .75% by volume of eucalyptus. It has been found that this particular mixture of essential oils exhibits unexpectedly good disinfectant (i.e. antimicrobial) properties, once dissolved or dispersed by a solvent in the  
10 water carrier, against an unusually broad spectrum of microorganisms including bacteria, viruses, spores and protozoan parasites. The composition is stable, is effective against microorganisms, and the microorganisms do not develop resistance to the formulation over time.

The composition may also include a small amount, preferably about  
15 .05 to .5% by volume, of a non-toxic biosurfactant such as BOD™ or Tween-80™. The biosurfactant acts to help solubilize and disperse the essential oils in the water carrier.

In another preferred embodiment, the composition comprises a small amount of an ion agent such as copper sulfate, which is sold as  
20 BLUE STONE™ ions. It has been found that a very small addition of Blue Stone ions (e.g. 10-100 ppm) produces a dramatic synergistic effect in the efficiency of the essential oil formulation. It is believed that these ions reintroduce naturally occurring groundwater ions absent from pure USP grade water, which speed up the anti microbial activity of the essential oils.  
25 Alternative ion agents include cupric carbonate and silver colloidal.

The composition of the invention may be formulated to be dispersed from a ready-to-use dispenser system. Due to its natural and non-toxic composition, the solution does not need to be wiped off. The resulting  
30 longer contact with the surface area bearing the microorganisms ensures a higher killing rate and continuous germ control for hours. The subject composition is also non-corrosive and bio-degradable.

The composition of the present invention can be prepared by the

traditional methods known to one skilled in the art. For example, the composition can be constituted by preparing an aqueous mixture of a solvent such as ethanol with essential oils. The composition is then agitated or mixed until a homogeneous solution of essential oils is generated.

The subject composition can be packaged as a ready-to-use dispenser system. The liquid solution can be dispelled from a trigger pump spray bottle and squeeze bottle or pump spray bottle to produce a spray. The composition can also be incorporated into a towelette form or a gel carrier which can then be used to treat a variety of surfaces. The towelettes can be packaged individually or in bulk for individual distribution.

The compositions of the invention are illustrated by the specific formulations described below without being limited to those formulations. The following non-limiting examples are illustrative of the present invention:

#### 15 Example 1

Antimicrobial compositions were prepared, having ingredients within the ranges specified in Table 1 as follows:

TABLE 1A

#### **Ingredient Concentrations**

<b>Essential Oil</b>	<b>Specific Gravity [Kg/L]</b>	<b>Minimums [v/v%]</b>	<b>Maximums[v/v %]</b>
Thyme	0.450	0.25	2.5
Lemongrass	0.882	0.5	0.75
Clove	1.041	0.67	2.5
Eucalyptus	0.915	0.75	1.1
Ethanol	0.8101	2	3.25
BOD	1.23	0	0.5

Blue Stone ions	2.29	0 ppm	1000 ppm
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The compositions in Table 1A were used and tested in accordance with the Microbial Reduction Assay described hereinbelow, and determined to be successful at rendering a microbic culture infertile.

5 Table 1B indicates the percentage of each essential oil that have been found to individually render a microbial culture infertile after an extended contact time.

TABLE 1B

Essential Oil	Minimums (v/v%)
Thyme	.076
Lemongrass	.16
Clove	.19
Eucalyptus	.225

#### *Microbial Reduction Assay*

10 During each run for each organism, control vials were prepared by adding 1 ml of sterile phosphate buffer to each of 3 vials containing dried cell material. In addition, 1 ml of the essential oil mix was added, to each of 10 vials containing dried cell material. All vials were exposed to the 1 ml of buffer and to the 1 ml of product for 1 hour at room temperature. Then, a sterile magnetic stirring bar and 9 ml of sterile phosphate buffer were  
15 added to each vial.

Thereafter, the liquid in each vial was analyzed by membrane filtration for its viable bacterial concentration per ml. Vials which contained disinfectant were analyzed first and then the control vials were analyzed.

Serial decimal dilutions were filtered from each vial and a separate, sterile funnel was used for the analysis of the liquid in each vial. The filters were placed onto Typticase Soy Agar and the plates were incubated at 35°C. Plates were examined every 24 hours and those which failed to show growth were incubated for up to 5 days. Otherwise, colony counts were obtained after each 24 hour period of incubation and incubation continued until no change was observed in the counts from one day to the next.

### Example 2

The compositions of the subject invention were successfully tested against *Staphylococcus aureus* using the adapted Quantitative Carrier Test for Sporicides, 1995, by Dr. Susan Springthorpe and Dr. Sayed Sattar, Dept. of Microbiology and Immunology, Faculty of Medicine, University of Ottawa and with a contact time of 10 minutes. The Quantitative Carrier Test was slightly modified to evaluate bacteria rather than spores (more appropriate culturing media and methods for assessing the viability of cells, etc.). *Staphylococcus aureus* has the most resistance of the government stipulated organisms for disinfectant evaluation. The data in Table 2 show that all of the compositions with the listed essential oils exhibited antimicrobial activity by passing the test used in the evaluation of such activity. Compositions 12, 15, 17 and 20 were found to be the most effective disinfectant formulations and compositions 13, 18 and 19 were very effective.

TABLE 2

#### **Formulations and Microbial Reduction:**

	Thyme v/v %	Lemon- grass v/v%	Clove v/v%	Euca- lyptus v/v%	Ethanol v/v%	BOD v/v%	Blue Stone ion ppm	Log reduc- tion
1	0.5	0.5	0.67	0.75	2.0	0.5	0	3.97



	Thyme v/v %	Lemon- grass v/v%	Clove v/v%	Euca- lyptus v/v%	Ethanol v/v%	BOD v/v%	Blue Stone ion ppm	Log reduc- tion
2	0.5	0.5	1.0	0.75	2.0	0.5	0	4.4
3	0.75	0.75	1.0	1.1	2.0	0.5	0	3.34
4	0.75	0.5	1.0	0.75	2.0	0.5	0	4.53
5	1.0	0.5	1.3	0.75	2.0	0.5	0	4.65
6	1.25	0.5	1.5	.75	2.25	0.5	0	4.66
7	1.25	0.5	1.5	0.75	2.25	0.05	0	4.47
8	1.25	0.5	1.5	0.75	3.25	0.05	0	4.5
9	2.5	0.5	2.5	0.75	3.25	0.1	0	4.92
10	2.5	0.5	2.5	0.75	3.25	0.1	100	6.3
11	0.5	0.5	1.3	0.75	2.25	0.1	10	4.88
12	0.5	0.5	1.3	0.75	2.25	0.1	100	6.65
13	1.0	0.5	1.3	0.75	2.25	0.1	10	5.35
14	1.0	0.5	1.3	0.75	2.25	0.1	20	3.39
15	1.0	0.5	1.3	0.75	2.25	0.1	100	7.0
16	0.75	0.5	1.3	0.75	2.25	0.1	10	3.15
17	0.5	0.5	1.3	0.75	2.25	0.25	10	6.1
18	0.5	0.5	1.3	0.75	2.25	0.25	20	5.8
19	0.75	0.5	1.3	0.75	2.25	0.25	20	5.75
20	1.0	0.5	1.3	0.75	2.25	0.25	50	6.7
21	.25	.5	.67	.75	2.0	0	0	2.0

	Thyme v/v %	Lemon- grass v/v%	Clove v/v%	Euca- lyptus v/v%	Ethanol v/v%	BOD v/v%	Blue Stone ion ppm	Log reduc- tion
22	.25	.5	.67	.75	2.0	.5	0	2.33

### Example 3

The data in Table 3 were generated with the Quantitative Carrier Test described herein that was approved by the Canadian General Standards Board. The organisms below represent the major structures or classifications of organisms. Many of the above kills can represent kills of other similarly structured organisms including *Streptococcus* or *Rota* virus. Composition 17 of Table 2 was used for the first three organisms, and composition 21 was used for the rest of the organisms.

TABLE 3

### Quantitative Carrier Test for Disinfectants:

ORGANISM (TYPE)	COMMON RELATED PROBLEMS	REDUCTION	CONTACT TIME
<i>Staphylococcus Aureus</i> (Bacteria +)	infections	99.9999%	10 minutes
<i>Salmonella</i> (Bacteria -)	food poisoning, typhoid, septicemia, gastroenteritis	99.9999%	10 minutes
<i>Pseudomonas Aeruginosa</i> (Bacteria)	pneumonia, urinary and nosocomial infections	99.9999%	10 minutes
<i>Giardia Muris</i> (Protozoan parasite)	diarrhea (Beaver Fever), intestinal disease, common in day care settings	98.2%	1 hour
<i>Aspergillus Fumigatus</i> (Mould)	asthma, pneumonia, infections	100.0%	on contact

ORGANISM (TYPE)	COMMON RELATED PROBLEMS	REDUCTION	CONTACT TIME
<i>Escherichia Coli</i> (Bacteria -)	infections, epidemic diarrhea	100.0%	1 hour
<i>Staphylococcus Epidermidis</i> (Bacteria +)	infections	100.0%	1 hour
<i>Candida albicans</i> (Yeast)	vaginitis, thrush, Athletes Foot, meningitis	99.9%	1 hour
MRSA - <i>Methicillin-resistant</i> <i>Staphylococcus Aureus</i> (Bacteria +)	impetigo, infections, meningitis, food poisoning	99.9%	1 hour
VRE - <i>Vancomycin-resistant</i> <i>Enterococcus faecium</i> (Bacteria +)	urinary, pelvic and other infections	99.9%	1 hour
MS-2 bacteriophage (Simulates viruses)	simulates Herpes, many Flu & Common Cold strains, Hepatitis	99.9%	6 hours
<i>Cryptosporidium</i> (Protozoan parasite)	attacks those with weak immune systems, i.e. AIDS, cancer patients	90.0%	12 hours

#### Example 4

##### *Lethal Dose 50 [LD50]*

A toxicity test was performed that indicates the concentration of the composition at which one-half of a rat population is killed, the results of which are shown in Table 5. The LD50 for the antimicrobial composition was greater than 36g/kg as no harm was done to any of the subjects. The product is safer than caffeine, salt, baking soda and pure cane sugar. In addition, the composition of essential oils has a Hazard Rating for the Material Safety Data Sheet [MSDS] of 0 or zero risk under Health, Fire and Reactivity.

**TABLE 4**

**Composition, Including Specifications and Certifications:**

Ingredient	LD <sub>50</sub> Oral-Rat	Specifications / Certification
Thyme	4.70 g/kg	British Pharmacopoeia [BP], FCC, USP
Lemongrass	5.60 g/kg	Food Chemical Codex [FCC]
Clove	1.37 g/kg	FCC
Eucalyptus	4.44 g/kg	FCC
Solvent <sup>1</sup>	7.06 g/kg	United States Pharmacopoeia [USP], FCC
Biosurfactant <sup>2</sup>	20 g/kg	TBA
Ionizing Agent	1.5 g/kg	FCC

<sup>1</sup> An organic, non-toxic solvent including grain alcohols.

<sup>2</sup> An organic, non-toxic biosurfactant such as BOD

5 <sup>3</sup> An ion agent such as BLUE STONE™ ions.

**Example 5**

While the preferred mixture of essential oils comprises thyme, lemongrass, clove, and eucalyptus, the subject composition may also include other essential oils, including sage, rosemary, garlic, savoy, orange, union, camomile, pine, sandalwood, niaouli, geranium and others. Table 5 provides examples of an efficacious concentration of each oil that individually renders a microbic culture infertile.

**TABLE 5**

**Optional Essential Oils**

Essential Oil	v/v%	Essential Oil	v/v%	Essential Oil	v/v%
lavender	0.5	cinnamon	0.17	anise	0.37
organum	0.1	aspic	0.35	neroli	0.475

Essential Oil	v/v%	Essential Oil	v/v%	Essential Oil	v/v%
meadow-sweet	0.33	cumin	0.45	peppermint	0.25
mustard	0.42	lemon	0.7	orris	0.38
melissa	0.52	rose	0.25	birch	0.48

5 The antimicrobial composition of the present invention is believed to have a number of advantages over the prior art. The subject composition contains a relatively low concentration of solvent. The non-corrosive nature of the subject composition makes it suitable to be used on a variety of surfaces including child high chair trays, food preparation areas including cutting boards, baby toys including in day care and doctors' offices, diaper change tables, toilet seats, pet areas, fitness centres and training salon equipment and prosthetic and orthotic materials. The subject composition is non-toxic to the surface of the skin, even when in contact with the skin for long periods of time. The antimicrobial composition will not harm eyes and is non-poisonous even if ingested by small children. The formulation has been approved by the federal Bureau of Chemical Safety for use on food contact surfaces without a rinse or wipe. The subject formulation has also been shown to have an unusually broad scope of effectiveness against a wide variety of organism structures, from simple gram negative bacteria such as E. coli to spores such as Aspergillus and protozoan parasites such as Cryptosporidium (which is resistant to 150 minutes of submersion in undiluted bleach).

20 While the present invention has been described with reference to what are presently considered to be the preferred embodiments, it is to be understood that the invention is not limited to these embodiments. To the contrary, the invention is intended to cover various modifications and equivalent arrangements included within the spirit and scope of the
 25 appended claims.